



H-A. Sector# 1
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Nguyen, et al.
Serial No.: 09/686,004
Filed: October 10, 2000
For: MINIMALLY INVASIVE
VALVE REPAIR
PROCEDURE AND
APPARATUS
Group Art Unit: 3731
Examiner: Not yet assigned
Attorney Docket: 800195-44 (6835-57391)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Director for Patents, Washington, D.C. 20231.

April 17, 2001


Julie Cox

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX MISSING PARTS

Assistant Director for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed December 18, 2000 and relating to completion of the above-identified application, transmitted herewith are:

1. A copy of the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed December 18, 2000;
2. The Declaration for Patent Application signed by the inventors pursuant to 37 C.F.R. § 1.63, and attached thereto a copy of the specification as filed on October 10, 2000 and referred to in the signed Declaration for Patent Application; and

3. A check in the amount of \$478.00 to cover the filing fee and surcharge in accordance with 37 C.F.R. § 1.16(e) for timely filing of missing parts of the application for a small entity.

It is hereby asserted that small entity status is entitled to be asserted for the application .

Except for the issue fee, which will be separately authorized, the Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. This transmittal is submitted in duplicate.

Respectfully submitted,



Steven R. Vosen
Registration No. 45,186

April 17, 2001
COUDERT BROTHERS
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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,004	10/10/2000	John Nguyen	3311.019US0

CONFIRMATION NO. 4669

FORMALITIES LETTER



OC000000005626330

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
Four Embarcadero Center, Suite 1100
San Francisco, CA 94111-4106

Date Mailed: 12/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.
 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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03 FC:205
04 FC:202
05 FC:203
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